

032904

16698 U.S. PTO

PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	
	First Inventor	Yehia A. MASSOUD .005
	Title	A SURGICAL guide for use during sinus elevation surgery utilizing CABALLER-LOCKSTON
	Express Mail Label No.	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>15</u>] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>11</u>] 5. Oath or Declaration [Total Sheets <u>3</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation
 ☐ Divisional
 ☐ Continuation-in-part (CIP)
 of prior application No.:

Prior application information: Examiner _____ Art Unit: _____
 For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 30922
 OR
☐ Correspondence address below

Name	PATENT & TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Yehia MASSOUD .005	Registration No. (Attorney/Agent)	
Signature	<i>[Signature]</i>	Date	3-21-04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17548 U.S. PTO
10/810553

032904

16698 U.S. PTO 032904

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TO: AMOUNT OF PAYMENT (\$) 385

Complete if Known	
Application Number	
Filing Date	<u>3-21-04</u>
First Named Inventor	<u>Yehia A. MASSOUD, DDS</u>
Examiner Name	
Art Unit	
Attorney Docket No.	

METHOD OF PAYMENT (check all that apply)

☒ Credit card ☐ Money Order ☐ Other ☐ None

Account:

The Depositor is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the identified deposit account.

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)				(\$)	<u>385</u>

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	2001	385	Utility filing fee	
1002	2002	170	Design filing fee	
1003	2003	265	Plant filing fee	
1004	2004	385	Reissue filing fee	
1005	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$) <u>385</u>

2. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

3. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

4. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

5. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

6. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

7. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

8. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

9. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

10. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

11. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

12. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

13. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

14. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

15. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

16. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

17. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

18. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

19. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

20. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

21. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

22. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

23. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

24. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

25. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

26. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145	Multiple dependent claim, if not paid	
1204	2204	43	** Reissue independent claims over original patent	
1205	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) <u>0</u>

27. CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1201	2202	9	Claims in excess of 20	
1201	2201	43	Independent claims in excess of 3	
1203	2203	145		